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<b>AMENDMENT TRANSMITTAL LETTER</b>			Docket No. 58985(49357)
Application No. 10/086,769-Conf. #8954	Filing Date March 1, 2002	Examiner J. Patel	Art Unit 3624

Applicant(s): Joseph M. Kochansky

Invention: INVESTMENT PORTFOLIO COMPLIANCE SYSTEM

**TO THE COMMISSIONER FOR PATENTS**

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	36	- 36 =	0	x 50.00	0.00
Independent Claims	5	- 5 =	0	x 200.00	0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					0.00

- ☒ Large Entity ☐ Small Entity
- ☒ No additional fee is required for this amendment.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.  
A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 04-1105  
as described below. A duplicate copy of this sheet is enclosed.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

  
Scott D. Worsy  
Attorney/Agent Reg. No.: 35,413

Dated: April 12, 2007

EDWARDS ANGELL PALMER & DODGE LLP  
P.O. Box 55874  
Boston, Massachusetts 02205  
(203) 353-6831

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: April 12, 2007

Signature: Edith Sillman (Edith Sillman)

(49357)58965



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Joseph M. KOCHANSKY	)	
	)	Group Art Unit: 3693
Serial No.: 10/086,769	)	
	)	Examiner: Jagdish Patel
Filing Date: March 1, 2002	)	
	)	Confirmation No. : 8954
Title: INVESTMENT PORTFOLIO	)	
COMPLIANCE SYSTEM	)	

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO RESTRICTION REQUIRMENT**

Sir:

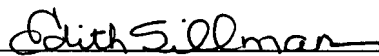
In response to the Restriction Requirement mailed March 29, 2007, please enter the following remarks.

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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Date: April 12, 2007

  
Edith Sillman